

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371		Attorney's Docket Number 042715-5015
International Application. No. International Filing Date	U.S. Application No. Unassigned	10/527053
PCT/JP2004/03100 <i>AK</i> March 10, 2004	Priority Date Claimed	March 27, 2003

Title of Invention: **WAVEFRONT ABERRATION CORRECTING DEVICE AND OPTICAL PICKUP DEV
EQUIPPED WITH SAME**

Applicants For EO/EO/US: Yasuhiro TAKAKI, Ei-ichiro NISHIHARA and Nobuyuki HASHIMOTO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.
 2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.
 3. ☐ This express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(I).
 4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☐ A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☒ **A copy of the International Application as filed has been transmitted by the International Bureau.**
 7. ☐ A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
 8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
 9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
 10. ☒ An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)).
 11. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).
- Items 12. to 15. below concern other document(s) or information included:**
12. ☐ An Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98.
 13. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included.
 14. ☐ A FIRST preliminary amendment.
 15. ☐ A SECOND or SUBSEQUENT preliminary amendment.
 15. ☒ Other items or information: a. WO 2004/086389 b. PCT/IB/301 b. PCT/IB/304
c. PCT/ISA/210 (International Search Report)

U.S. APPLICATION NO. INTERNATIONAL APPLICATION NO. ATTORNEY DOCKET NUMBER

10/527053

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16.



The following fees are submitted:

Basic National Fee (37 C.F.R. § 1.492(a)(1)-(5)):

NATIONAL STAGE BASIC FEE AMOUNT = \$300.00

Surcharge of \$130.00 for furnishing the oath or declaration later than

☐ 20 ☐ 30 months from the earliest claimed priority date

(37 C.F.R. § 1.492(e)).

\$

Claims	Number Filed	Number Extra	Rate	
Total Claims	20-20 =		X \$50.00	\$
Independent Claims	2-3 =		X \$200.00	\$
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$
Application Size Fee - \$250.00 for each additional 50 sheets that exceeds 100				\$
Search Fee			\$500.00	\$500.00
Examination Fee			\$200.00	\$200.00
TOTAL OF ABOVE CALCULATIONS				\$ 1,000.00
Reduction by ½ for filing by small entity, if applicable.				
Verified Small Entity statement must also be filed. (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				-\$
SUBTOTAL =				\$ \$500.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).				+\$
TOTAL NATIONAL FEE =				\$ \$500.00
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property				\$ 40.00
TOTAL FEES ENCLOSED =				\$
Amount to be refunded				\$
Amount to be charged				\$ 540.00

a. ☐

A check in the amount of \$_____ to cover the above fees is enclosed.

b. ☒Please charge my Deposit Account No. 50-0310 in the amount of **\$540.00** to cover the above fees. A duplicate copy of this sheet is enclosed.c. ☒**Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. § 1.16 and § 1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

Date: March 9, 2005

Customer No. 09629

SEND ALL CORRESPONDENCE TO:**Morgan, Lewis & Bockius LLP****1111 Pennsylvania Avenue, N.W.****Washington, D.C. 20004****Telephone: (202) 739-3000****Facsimile: (202) 739-3001**

Robert J. Goodell, Reg. 41,040